

## **Revisiting the Identification of the Co-evolution of Production and Test Code**

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Many software processes advocate that the test code should *co-evolve* with the production code. Prior work usually studies such co-evolution based on *production-test co-evolution samples* mined from software repositories. A production-test co-evolution sample refers to a pair of a test code change and a production code change where the test code change triggers or is triggered by the production code change. The quality of the mined samples is critical to the reliability of research conclusions. Existing studies mined production-test co-evolution samples based on the following assumption: **if a test class and its associated production class change together in one commit, or a test class changes immediately after the changes of the associated production class within a short time interval, this** *change pair* **should be a** *production-test co-evolution sample***. However, the validity of this assumption has never been investigated.** 

To fill this gap, we present an empirical study, investigating the reasons for test code updates occurring after the associated production code changes, and revealing the pervasive existence of noise in the productiontest co-evolution samples identified based on the aforementioned assumption by existing works. We define a taxonomy of such noise, including six categories (i.e., adaptive maintenance, perfective maintenance, corrective maintenance, indirectly related production code update, indirectly related test code update, and other reasons). Guided by the empirical findings, we propose CHOSEN (an identifiCation metHod Of production-teSt co-EvolutioN) based on a two-stage strategy. CHOSEN takes a test code change and its associated production code change as input, aiming to determine whether the production-test change pair is a production-test co-evolution sample. Such identified samples are the basis of or are useful for various downstream tasks. We conduct a series of experiments to evaluate our method. Results show that (1) CHOSEN achieves an AUC of 0.931 and an F1-score of 0.928, significantly outperforming existing identification methods, and (2) CHOSEN can help researchers and practitioners draw more accurate conclusions on studies related to the co-evolution of production and test code. For the task of Just-In-Time (JIT) obsolete test code detection, which can help detect whether a piece of test code should be updated when developers modify the production code, the test set constructed by CHOSEN can help measure the detection method's performance more accurately, only leading to 0.76% of average error compared with ground truth. In addition, the dataset constructed by

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**CHOSEN** can be used to train a better obsolete test code detection model, of which the average improvements on accuracy, precision, recall, and F1-score are 12.00%, 17.35%, 8.75%, and 13.50% respectively.

## $\label{eq:ccs} \text{CCS Concepts:} \bullet \textbf{Software and its engineering} \rightarrow \textbf{Software evolution}; \textbf{Software version control}; \textbf{Empirical software validation};$

Additional Key Words and Phrases: Empirical software engineering, mining software repositories, software evolution, software testing

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## **1 INTRODUCTION**

A software system must evolve or it will become less useful over time [42, 55, 74, 88]. During evolution, the production code is constantly modified and updated to address new requirements or possible issues that may arise. Test code, as an essential artifact, should *co-evolve* with the production code to ensure that the associated production code meets specification, which is referred to as *co-evolution of production and test code* [81], hereon *PT co-evolution*. Despite the importance of *PT co-evolution*, developers may forget or ignore updating test code, which brings in *obsolete test code* [81], thereby increasing the cost of development and maintenance [50, 65].

*PT co-evolution* has been attracting continued interest from both academia and industry. On the one hand, researchers [42, 55, 88] have proposed various visualization techniques to display production and test file changes over time (*PT co-evolution*) and group files that change together. Such visualizations can help analysts recognize different *PT co-evolution* scenarios, obtaining relevant observations. Further, Wang et al. have proposed a state-of-the-art method for **Just-In-Time (JIT) obsolete test code detection (OTCD)**, named SITAR [81]. SITAR enables learning a model from the historical data of *PT co-evolution* in a project and helps detect whether a piece of test code should be updated when developers modify the production code.

Identifying and mining *production-test co-evolution samples* is the basis of existing studies related to *PT co-evolution*, such as *PT co-evolution* visualization [42, 55, 88] and JIT obsolete test code detection [81]. A *production-test co-evolution sample*, hereon *PT co-evolution sample*, refers to a pair of a test code change and a production code change where the test one triggers or is triggered by the production one. Unfortunately, given a test code change and a production code change, it is hard to automatically determine whether they co-evolve or not, because (1) associating a test code snippet with a production code snippet is already a non-trivial task if there are no explicit links between them (e.g., their names follow some conventions), and (2) precisely determining whether a test code co-evolves with its associated production code requires comprehending their changes and understanding their relationship, which is also difficult.

To enable the mining of *PT co-evolution samples*, existing works related to *PT co-evolution* widely use the following strategies to identify *PT co-evolution samples*: (1) focusing on unit test classes and taking advantage of naming conventions to ease the association of test and production classes—for example, given a unit test class FooTest, only its tested production class (i.e., Foo) will be considered when constructing *PT co-evolution samples* (for convenience, hereon, we refer to such tested production code as *associated production code*) and (2) collecting *PT co-evolution samples* based on the following assumption [42, 55, 81, 88]:

```
Listing 1. JexlAction.java change (simplified, commit 1a06601).
```

Listing 2. JexlActionTest.java change (simplified, commit 1a06601).

```
index 7b99721..d35142c 100644
- public void testJexlFunctionExecution() throws Exception {
    public void testJexlActionExecutionWithCustomFunction() throws Exception {
        Action printAction = new JexlAction("var hello = function() {
            System.out.println(\"Hello from JEXL!\"); }; hello();");
        PrintStream originalStream = System.out;
        ByteArrayOutputStream outputStream = new ByteArrayOutputStream();
        System.setOut(new PrintStream(outputStream));
        Map<String, Object > namespaces = new HashMap <>();
        namespaces.put("sout", System.out);
        JexlEngine jexlEngine = new JexlBuilder().namespaces(namespaces).create();
        Action printAction = new JexlAction("var hello = function() {
            sout:println(\"Hello from JEXL!\"); }; hello();", jexlEngine);
        Sout:println(\"Hello from JEXL!\"); }; hello();", jexlEngine);
        Sutem.setDexter = new JexlAction("var hello = function() {
            Sout:println(\"Hello from JEXL!\"); }; hello();", jexlEngine);
        Sutem.setDexter = new JexlAction("var hello = function() {
            Sout:println(\"Hello from JEXL!\"); }; hello();", jexlEngine);
        Sout:println(\"Hello from JEXL!\"); }; hello();", jexlEngine);
        Sutem.setDexter = new JexlAction("var hello = function() {
            Sout:println(\"Hello from JEXL!\"); }; hello();", jexlEngine);
        Sutem.setDexter = new JexlAction("var hello = function() {
            Sout:println(\"Hello from JEXL!\"); }; hello();", jexlEngine);
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```

Fig. 1. False *co-evolution* example in easy-rules project.

#### Assumption 1.

If a test class and its associated production class change together in one commit [42, 55, 88], or a test class changes immediately after the changes of the associated production class within a short time interval (denoted by T), this change pair should be a production-test co-evolution sample [81].

For example, in Wang et al.'s work [81], if a test class is updated within 48 hours after the change of its associated production class, such *change pair* is considered as a *PT co-evolution sample*.

However, we find that even if we only focus on unit test classes and associate test and production classes based on naming conventions, the widely used assumption (shown in Assumption 1) does not always hold. For example, Figure 1 shows a commit collected from the easy-rules [24] project, where a test class (i.e., JexlActionTest) and its associated production class (i.e., JexlAction) change simultaneously. In the production class (Listing 1), a developer modified the logger message by updating "evaluate" to "execute" to help users understand the JexlException. In Listing 2, the test code encapsulated the technical facts (i.e., "System.out") into the new Jexl namespaces. Note that *PT co-evolution* implies that production code modifications and test code modifications are necessarily correlated couplings; i.e., the test code change triggers or is triggered by the production one. For the above-mentioned example, although the production code and test code are modified with a similar purpose (to facilitate future project maintenance), the content of their modifications is not related. In other words, the inference that the production code modifying the logger message of the JexlException must cause the test code to encapsulate the technical facts does not hold. Figure 2 shows another example. Specifically, in the production code (Listing 3), the developer

Listing 3. Hacker.java change (simplified, commit f574317).

```
index 3a84b23..2 ec2597 100644
public class Hacker {
    private final Faker faker;
    private final FakeValuesServiceInterface fakeValuesService;
    public Hacker(FakeValuesServiceInterface fakeValuesService) {
        this.fakeValuesService = fakeValuesService;
        Hacker(Faker faker) {
        this.faker = faker;
     }
}
```

```
Listing 4. HackerTest.java change (simplified, commit f574317).
```

```
index 2af4d21...8639213 100644
-    public class HackerTest {
-        private Faker faker;
-        @Before
-        public void before() {
-            faker = new Faker();
-        }
+    public class HackerTest extends AbstractFakerTest{
        ...
}
```

Fig. 2. False co-evolution example in java-faker project.

made two changes: they replaced the private field fakeValuesService with faker and modified the constructor to initialize faker. Meanwhile, in Listing 4, the test code extracted the method with the @Before annotation<sup>1</sup> into a base test class and inherited from that base class. This modification of the test code is a code refactoring activity, which aims to improve test code readability, reduce code duplication, and facilitate future maintenance of the test cases' common behavior. In contrast, the changes made to the production code involve the updates of a private property and a method, which should not result in the test code removing the faker or before method. Therefore, the changes made to the test code are independent of the changes made to the production code coverall, we can see that in each example, the test code change is not triggered by the production code change.

These examples mean that the PT co-evolution samples collected by prior work based on Assumption 1 may contain noise, or in other words, false positives. Like any data-driven task, existing studies related to PT co-evolution are highly data dependent. For example, for JIT obsolete test code detection [81], in order to learn complex features of production code changes, we require large production-test change pairs that have been labeled as either PT co-evolution or PT non-co-evolution. As for PT co-evolution visualization [42, 55, 88], developers and test engineers rely on different PT co-evolution scenarios mined from PT co-evolution samples. Moreover, some linking methods use PT co-evolution samples to construct the traceability between test code and production code (hereafter called test-to-code traceability) by assuming that test code and their associated production code usually co-evolve together throughout time [66, 83]. However, the noise might potentially impact the data quality and subsequently pose a threat to prior work's findings and conclusions. Data quality is an integral component of any data-driven system. The misinformation or noise can make benchmark performance results misleading [37, 70]. This can cause obsolete test code detection models to fail to generalize to real-world scenarios if they have not been trained with realistic, high-quality data. Furthermore, false positives may make linking methods incorrectly construct test-to-code traceability or confuse developers when identifying *co-evolution* 

<sup>&</sup>lt;sup>1</sup>Annotating a public void method with @Before causes that method to be run before the test method.

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scenarios in *PT co-evolution* visualization. Motivated by this, this work revisits the identification of the *co-evolution of production and test code*. To keep in line with prior work, we also focus on unit test classes and use naming conventions to associate test and production code. First, we investigate to what extent the assumption shown in Assumption 1 is satisfied through an empirical study. Specifically, we carefully select 44 projects with good testing efforts [62] from GitHub, construct a total of 33,567 *PT co-evolution samples* based on the assumption, and manually inspect a statistically significant sample made up of 380 *PT co-evolution candidates*, representing the population with 95% confidence and 5% margin of error. The results show that depending on the selected time interval *T*, 11.34% to 96.40% of the constructed *PT co-evolution samples* are false positives; i.e., the test code change is not triggered by the associated production code change. Then, based on our inspection, we define a taxonomy of the cases where the assumption shown in Assumption 1 does not hold. The taxonomy consists of six categories: adaptive maintenance, perfective maintenance, indirectly related production code update, indirectly related test code update, and other reasons.

The empirical study shows that the assumption of prior studies does not always hold in practice and the methods used by prior work to identify PT co-evolution samples may introduce noise. This prompted us to investigate what is the impact of such noise to the conclusion of the downstream tasks. We select the JIT OTCD task [81] as the example, because this task can help demonstrate the impact of noise in a quantitative manner. OTCD can remind developers to update obsolete tests just after they change the production code, which can help developers (1) find the obsolete tests that would not fail and (2) find the obsolete tests that would fail without executing them. Specifically, we manually re-label 741 production-test change pairs collected and labeled by the identification method of SITAR (the state-of-the-art JIT OTCD method) and investigate the impacts of the mislabeled pairs on prior work's conclusions. From the results, the production-test change pairs mislabeled by the existing method significantly impact the detection performance. The maximum precision decline is 13.33%. To reduce such noise, we propose an identifiCation metHod Of production-teSt co-EvolutioN, namely CHOSEN. Besides time intervals often adopted by previous works, CHOSEN attempts to understand the relationship between production and test code changes. Specifically, given a test code change change, CHOSEN first constructs its productiontest change pair  $\langle change_p, change_t \rangle$ , where change<sub>p</sub> is the latest change of the associated production code that occurs in the same commit of or before *change*<sub>t</sub>. Then, **CHOSEN** determines whether  $change_t$  is triggered by  $change_p$  based on the time interval between  $change_t$  and  $change_p$  and five fine-grained strategies learned from our empirical study (e.g., production-test change pair with non-semantic relevance is not co-evolving).

We evaluate the effectiveness of **CHOSEN** on *PT co-evolution* identification by comparing the *PT co-evolution samples* identified by **CHOSEN** with manually labeled ground truth (another set of 380 samples re-selected and labeled). The results show that **CHOSEN** achieves an F1-score of 0.928 and an **area under the precision-recall curve (AUC)** of 0.931, significantly better than existing identification methods. Moreover, we demonstrate how **CHOSEN** can be used to facilitate the downstream tasks related to *co-evolution of production and test code*. We select the OTCD task as the representative. Specifically, we first leverage **CHOSEN** to re-label 741 production-test change pairs collected and labeled by the identification method of SITAR and investigate the usefulness of **CHOSEN** in the OTCD task. Experimental results show that (1) measuring the OTCD model on the test set labeled by existing identification methods leads to average performance errors of 6.01%, 8.40%, 6.96%, and 3.61% in terms of accuracy, precision, recall, and F1-score, respectively—in contrast, those errors are only 0.68%, 0.99%, 0.62%, and 0.76% on the test set labeled by **CHOSEN**, and (2) after training the OTCD model on the training set labeled by **CHOSEN**, the model's precision, recall, and F1-score are improved by 17.35%, 8.75%, and 13.50%, respectively.

In summary, this article makes the following contributions:

- (1) We conduct an empirical study to investigate the validity of the assumption that is widely used by prior work [42, 55, 81, 88] (i.e., if a test class changes together or immediately after the changes of an associated production class within a short time interval, this change pair should be a production-test co-evolution sample) to collect and label production-test co-evolution samples. Results show that 11.34% to 96.40% of the PT co-evolution samples constructed by such assumption are false positives.
- (2) We perform an in-depth analysis on the cases where the assumption used by prior work does not hold and summarize six types of test code change patterns that are not triggered by the changes of the associated production code. To the best of our knowledge, this is the first work that investigates such test code change patterns.
- (3) We propose a method, namely CHOSEN, based on our empirical study's findings to identify production-test co-evolution samples. Experimental results show that, compared to existing identification methods, CHOSEN achieves a significantly better F1-score and AUC. Moreover, for the task of JIT obsolete test code detection, the test set labeled by CHOSEN can help measure the detection model's performance more accurately, and the training set labeled by CHOSEN can help improve the performance of the detection model.
- (4) Finally, we built a dataset with over 46K *production-test change pairs*, including more than 1K manually labeled pairs (380 pairs for empirical studies, 380 pairs to verify **CHOSEN** effectiveness, and 741 pairs to demonstrate the usefulness of **CHOSEN** for downstream tasks). We open these data and our scripts for follow-up work.<sup>2</sup>

The article is organized as follows: In Section 2, we describe the empirical study that is performed to explore to what extent Assumption 1 is satisfied. We demonstrate the impacts of mislabeled pairs on prior work's conclusions and elaborate on our *co-evolution sample* identification method in Section 3. Section 4 evaluates the effectiveness of our method on *co-evolution* identification and the usefulness of **CHOSEN** on downstream tasks related to *production-test co-evolution*. In Section 5, we discuss the limitations of this article, the implications for researchers and practitioners, and the threats to validity. Section 6 presents a brief review of related work. Finally, Section 7 concludes the article and mentions future work.

### 2 EMPIRICAL STUDY

The goal of the empirical study is to investigate the validity of the assumption that test code and associated production code are co-evolving if a test class and its associated production class change together in one commit [42, 55, 88], or a test class changes immediately after the changes of the associated production class within a short time interval (denoted by T). We first build a dataset of *PT co-evolution samples* based on the assumption. Then, some samples are randomly selected and manually labeled to check whether the test code update is attributable to the change of the associated production code. For the samples where the test code change is not triggered by the associated production code change, we further analyze the reasons behind the test code change. This empirical study helps us answer the following two research questions:

**RQ1.1:** Are production-test change pairs whose test class changes immediately after the changes of associated production class always PT co-evolution samples? This RQ aims to investigate to what extent the assumption (shown in Assumption 1) is satisfied.

<sup>&</sup>lt;sup>2</sup>https://anonymous.4open.science/r/CHOSEN-DE81.

**RQ1.2:** What types of test code updates are not triggered by the changes of their associated production *code*? This research question aims to characterize the cases where the assumption (shown in Assumption 1) does not hold.

### 2.1 Data Collection and Cleaning

2.1.1 Project Selection. In terms of project selection, we first follow and clone the available projects used by Wang et al. [34, 81]. These projects are all Apache Software Foundation (ASF) Java projects. Considering that only using the ASF projects to construct a dataset may introduce data bias, thereby missing some PT co-evolution practices that do not appear in the ASF projects, we further supplement 1,500 Java projects used by Wen et al. [82]. After filtering out duplicate projects, we obtain a total of 1,952 projects. Next, we select the project using the following criteria: (1) Project Directory Layout. We only consider Java projects managed by Maven. Maven projects follow the standard directory layout [31], which allows us to conveniently find its associated production code using naming convention. (2) Popularity. The number of stars [27] of a repository reflects its popularity on GitHub. Following previous research [82], we select projects with more than 10 stars to avoid possible irrelevant/toy projects. (3) Long change history. Following previous work [56–58, 75], we exclude projects with a commit history of fewer than 3 years to ensure that the selected projects are well maintained. (4) Compilability. The selected projects can be compiled, and all test cases can be run successfully, allowing us to obtain the project's test coverage and perform subsequent testing analysis. In order to run the test cases, we need to manually restore the compilation environment of the project, which is time-consuming and resource-intensive. Therefore, we select one project from 1,952 projects in a random manner and verify whether the project satisfies the aforementioned criteria. The project that meets the criteria is retained; otherwise, it is discarded. We utilized a server with two 32-core processors and 256GB memory to compile the projects efficiently. We hired three experienced master students to perform compilation. During the project selection process, we attempted to compile a total of 487 projects but successfully compiled only 150. The entire compilation process, including setting up the environment, debugging errors, configuring test coverage tools, running all unit test cases, and collecting coverage information, required approximately 3 weeks of effort in total. Despite the considerable effort, applying rigorous project selection criteria is necessary to guarantee the reliability and validity of our empirical study.

We expect to build a dataset from projects with the best testing efforts [62]. To this end, a preliminary analysis is conducted to evaluate how well these projects are tested. Based on previous studies [62], a project can be considered extensively tested if it meets the following conditions: (1) ratio of changes occurring in test and production code is over 20%, (2) ratio between the amount of test and production code is over 25%, and (3) branch coverage determined with Jacoco [29] is over 67%. Due to test failure and compilation errors, we record branch coverage on each project's final version rather than on all versions. The results of three metrics are presented in Table 1. Finally, we retain 44 projects satisfying the above threshold among 150 projects.

2.1.2 Dataset Construction. Following previous work [81], we utilize the following strategies to associate test and production classes: (1) *File Path Matching*. The mined project needs to follow the standard directory layout [31], where src/main stores production files and src/test stores test files. (2) *File Name Matching*. The naming convention is used to identify the associated production class by removing the "Test" prefix/suffix of the test class's name. Subsequently, we construct the *PT co-evolution samples* based on the assumption shown in Assumption 1. For a test code change *test*, the production code change that occurs in the same commit of or before *test* will be paired with *test*. Note that previous studies adopt different settings for the time interval *T* between

Matrice		Per Project	t
Metrics	Mean	Median	Std. Dev.
Branch Coverage	54.18%	54.00%	25.63%
LOC <sub>prod</sub>	77,980,339	10,514,949	202,116,309
LOC <sub>test</sub>	21,080,109	3,894,575	49,098,123
$\frac{LOC_{test}}{LOC_{total}}$	28.69%	27.42%	17.56%
Change <sub>test</sub>	6,584	2,988	9,606
Change <sub>prod</sub>	1,448	652	2,095
Change <sub>test</sub> Change <sub>total</sub>	21.39%	21.13%	12.03%

Table 1. Statistics of Evaluation Results for 150 Projects



Fig. 3. An example to illustrate production-test change link.

production code change and test code change. Thus, we relax the restriction on T to explore the amount of noise introduced depending on different time intervals.

Here we introduce an example to illustrate the sample construction. On the timeline (as shown in Figure 3), each circle represents a file modification, and the changes that occur in the same commit are put in the same vertical line. Among these file changes, suppose  $\{a, d, e\}$  (solid circles) denotes the updates of production class Foo, while  $\{b, c, f\}$  (dashed circles) denotes the changes of test class FooTest. For *b*, its updates are traced back to *a*, because *b* immediately follows *a*. The change *c* would be paired with *d* since production and test modifications are involved in the same commit. Before the change *f*, there are three production code modifications, i.e,  $\{a, d, e\}$ , while only *e* would be paired with *f*. This is because *f* is committed after *e*, which is a later modification of Foo. As a result, *e* should be considered a co-evolved production change of *f*, rather than *a* and *d*.

To ensure that the test code is a unit test class, all file names over the history are stored to check whether the production file exists in the project's historical structure. We keep the code changes that involve at least one *Abstract Syntax Tree* (AST) operation using GumTreeDiff [43] to filter out the changes that only modify comments or formats. Finally, from the 44 projects, we extract 33,567 *co-evolution samples* and analyze 380 randomly sampled *change pairs*, representing the population with 95% confidence and 5% margin of error.

#### 2.2 Analysis of Production-test Change Pairs

We invite three volunteers with research experience related to software evolution and 5 years of Java programming experience, including one PhD student and two master's students. Then, the 380 samples are distributed to these three volunteers respectively. During the analysis of *production-test change pairs*, each volunteer needs to manually review 380 samples, summarize the reasons for test code changes, and ultimately agree on the reasons. For each sample, we provide commit messages, code diffs, and changed files to volunteers and allow them to search the corresponding Git repository. We provide instructions to guide volunteers to label each sample as follows: (1) reviewing the commit messages and changed files to understand the change intention



Fig. 4. The distribution of PT co-evolution samples constructed based on Assumption 1.

and the context of the sample, respectively; (2) checking code diffs and analyzing the correlation between the production-test changes; (3) according to such correlation analysis, judging whether the production changes trigger the test changes, and if so, label this sample as positive, otherwise negative; and (4) for each negative sample in our empirical study, summarizing the reason for its test change and defining a tag to represent the reason. When analyzing a change pair, each volunteer first defines the tag independently. Subsequently, the volunteer compares it with the shared tags. If similar tags exist, the volunteer adopts the tags that have been defined, and otherwise adds the newly defined tag into the shared tags. Fleiss Kappa [45] is used to measure the overall agreement between these tags. The Kappa value is 0.78, indicating substantial agreement. Once the volunteers did not agree on the tags of a change pair, the pair would be discussed to reach a common decision. In previous empirical works [79, 80], when the manual analysis work is nearing its end, researchers claim that the collected cards/tags reach saturation when new cards/tags do not appear anymore. We found that after analyzing approximately 80% of the change pairs, the volunteers did not add new tags. Thus, motivated by previous works [79, 80], we believe our manual analysis has reached saturation.

After having tagged all samples, we organize the tags into several groups through card sorting [54] to form a taxonomy of test code change patterns. We apply open card sort [73] to sort index cards into categories. Specifically, each card has a title (name of the category). We carefully read the title and the tag (concrete reasons for test code update) to determine whether the tag belongs to this title. All the groups with low-level subcategories will be aggregated into high-level subcategories. In the end, all analytical work was completed in 2 weeks.

#### 2.3 RQ1: Categories of Test Code Update

In this section, we provide the results of our empirical study to answer RQ1.1 and RQ1.2.

First, we count the distribution of 33,567 *PT co-evolution samples* constructed based on Assumption 1. The results are shown in Figure 4, where the *x*-axis represents the time interval *T* between production code change and test code change, and the *y*-axis is the number of *PT co-evolution samples*. From Figure 4, we can observe that consistent with the previous observations [81], when T = 0s, the constructed *PT co-evolution samples* are the most. As *T* increases, the number of postponed *PT co-evolution samples* decreases. In addition, there are some *PT co-evolution candidates* in

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Time Interval (T)	Total Samples (N)	Co-evolution $(N_{co})$	Non-co-evolution $(N_{non\_co})$	$N_{co}/N$	N <sub>non_co</sub> /N	$N_{co}/Sum(N_{co})$
T = 0	194	172	22	88.66%	11.34%	89.12%
$0 < T \le 12h$	24	11	13	45.83%	54.17%	5.70%
$12h < T \le 24h$	7	1	6	14.29%	85.71%	0.52%
$24h < T \le 48h$	7	1	6	14.29%	85.71%	0.52%
$48h < T \le 480h$	37	4	33	10.81 %	89.19%	2.07%
T > 480h	111	4	107	3.60%	96.40%	2.07%
Sum	380	193	187	50.79%	49.21%	_

 Table 2. Sample Distributions According to Time Interval

which the time interval between production changes and corresponding test changes is more than 4 days. Overall, most test changes occur within a short time interval when the production code changes.

Then, according to the constructed 33,567 *PT co-evolution samples*, we adopt a stratified sampling way to randomly select 380 *change pairs* (representing the population with 95% confidence and 5% margin of error). Specifically, we calculate the ratio of samples grouped by the time intervals *T* to the total samples and randomly select *change pairs* based on the ratio to ensure that the time distribution properties of the 380 samples are consistent with the original set. The subsequent empirical study is performed based on the selected 380 samples.

RQ1.1: Are production-test change pairs whose test class changes immediately after the changes of associated production class always PT co-evolution samples?

Table 2 provides the number of true-positive and false-positive samples and shows the distributions of *PT co-evolution samples* (last column). The *change pairs* are grouped by the time intervals *T* between the production code change and the test code change. *T* is set as 0s, 12*h*, 24*h*, 48*h*, and 480*h*.

From Table 2, we can find that (1) the false-positive rates range from 11.34% to 96.40% for different time interval and (2) when *T* becomes large, the percentage of *PT co-evolution samples* decreases. Additionally, most *PT co-evolution* occurs within a small time interval (i.e., T < 12h). When *T* exceeds 480 hours, a *production-test change pair* is not likely to be a *PT co-evolution sample*.

Overall, although *PT co-evolution* is common, many test code changes are not triggered by the production code changes. In addition, when production code and test code are modified in a small time interval, they are more likely to construct a *PT co-evolution sample*. However, Table 2 reveals that a *production-test change pair* for which the *T* is small is not necessarily a *PT co-evolution sample*. For example, even for T = 0s, the false-positive rate is more than 11%. We perform a closer inspection on the *PT co-evolution samples* with T > 480h and find that most of them add or delete files.

➡ Depending on the selected time interval *T*, 11.34% to 96.40% of the constructed *PT co-evolution samples* are false positives; i.e., their test code changes are not triggered by their associated production code changes.

# RQ1.2: What types of test code updates are not triggered by the changes of their associated production code?

RQ1.1 has confirmed that there exist some *change pairs* where the test code change is not attributable to the change of their associated production code. For these *change pairs*, the assumption (shown in Assumption 1) does not hold. To answer RQ1.2, we further analyze these *change pairs* and define a taxonomy, which summarizes the change patterns of these *change pairs* accounting for six categories.



Fig. 5. RQ1.2: Taxonomy of test update unrelated to corresponding production modification.

As shown in Figure 5, the taxonomy involves **maintenance** [39], **indirectly related production code updates**, **indirectly related test code updates**, and **others**, where maintenance activities are further subdivided into (1) **adaptive maintenance**, which introduces new features into the system and keeps software usable in a changed or changing environment; (2) **perfective maintenance**, which improves the performance or maintainability of the system; and (3) **corrective maintenance**, which fixes discovered bugs and errors in a program. These three categories are consistent with previous studies, such as [59, 64]. More concrete change patterns are denoted either as intermediate nodes or leaves. The intermediate nodes or leaves are always located to the right of the parent node. For each change pattern, we present the specific number (immediately following the pattern name), along with the descriptions of its representative examples.

(1) Adaptive Maintenance (54): The maximum number of test code updates in this category is related to changes of used test framework/library, accounting for 44.44% = 24/54. One example is from the Cactoos project, in which for the test code CycledTest [19], the developer updated the package imports, replacing "junit.Test" with "junit.jupiter.api.Test," and removed the public modifier for each test method. *JUnit Jupiter*, as the critical component of JUnit 5, does not require test methods to be public [30]. As a result, the developers modified the test code Cycled [14] carried out an API replacement due to refactoring activities in the other production code LterableOf. Interestingly, we also notice that some test code changes introduce new test methods that are not caused by the associated production code EntryStream[25] just updated import statements. We further checked the commit message and found that the test methods are added to improve the branch coverage of the project.

(2) **Perfective Maintenance (62):** In this category, test code is updated to facilitate the future maintenance of test code. One of the change patterns is **cleaning up redundant test code**. In

the Commons-collections project [20], the production code changes and test code changes were added in the same commit with the message "Remove redundant generic type arguments." However, the test code and the production code modified different objects. For example, the production code StaticHasher modified a TreeSet type variable, while the test code StaticHasherTest modified an ArrayList type variable. PT co-evolution needs to meet the condition: the test code change triggers or is triggered by the production code change. For the above example, there is no coupling correlation between the variable modified by the test code and that of the production code. In other words, we cannot infer that the modification made by StaticHasher to remove the generic parameters of TreeSet caused the modification made by StaticHasherTest to remove the generic parameters of ArrayList. Thus, such production-test change is not an example of PT co-evolution, but rather a cleanup activity by developers for code units containing redundant generic type parameters. A more frequent test code change pattern is modifier changes to local variables and method parameters. For this pattern, the most common action is adding the "final" modifier. Final variables are read-only by design. When changing a final variable's value, the compiler will throw an error. For example, the test code ValidateTest [23] added the "final" modifier to the custom variable, but the corresponding production code Validate [16] underwent code refactoring: using Objects.requireNonNull() instead of custom conditional statements checking whether an object is empty.

In 13 commits, test code is updated due to **code refactoring activities**, such as the test code TestDefaultParametersManager [15] utilizing the Lambda expression to replace anonymous internal classes, making the code more concise and compact. Yet, the function parameters of DefaultParametersManager [11] added the final modifier. When multiple test classes contain the same property (e.g., test cases), developers usually extract commonalities and form a new test class inherited or imported by the test classes. For instance, a commit [17] extracted common divide-by-fraction test cases to CommonTestCases. Another test code change [22] attempted to encapsulate commonly used asserts (e.g., assertFalse) in a test helper class. Then, Transform2STest directly called the encapsulated method rather than writing redundant test asserts. In contrast, the production code Transform2S [21] performed a perfective maintenance activity: removing redundant local variables. In addition, this category involves **adding generic type arguments**, **formatting imports**, **reordering test cases**, **enriching print information**, and **enriching test name to improve readability**.

(3) Corrective Maintenance (31): The test code updates fixing functional and non-functional faults lie in this category. Overall, many test code modifications are due to Checkstyle [28] or SonarQube [33] warnings. It is worth noting that **Suppress Warnings** is different from the **Fix Checkstyle/SonarQube Warnings** pattern. For example, the test code TestBinaryAnd added the annotation of "@SuppressWarnings("unchecked")" to ignore all unchecked warnings coming from that class [3]. However, the source of warning remains. The corresponding production code [1] added the missing annotation "@Override."

(4) Indirectly Related Production Code Updates (29): For a test code FooTest, we indicate the other production class that is depended on by FooTest but is not its associated production code (i.e., class Foo) as *indirectly related production code*. This category groups test code changes triggered by the modifications of their indirectly related production code. We observed that test code usually calls methods in non-associated production code to complete testing state initialization. This category includes the cases where the test code updates are triggered by the changes of such methods. For example, TimeSeries changed the **function signature** from valueOf to numOf, resulting in an update to the test code InSlopeRuleTest [13]. The production code InSlopeRule located in the same commit [12] modified the import information by replacing "org.ta4j.core.Num.Ab stractNum.NaN" with "org.ta4j.core.Num.NaN."

An example of this category comes from the Joda-time project. A commit [4] was created to fix a bug of Days.daysBetween. However, the commit did not make any changes to Days; instead, the BaseSingleFieldPeriod was updated. In the test code TestDays, the developers added more test cases for the target method Days.daysBetween. We carefully check the implementation details of the target method and observe that it directly called the BaseSingleFieldPeriod.between, and then performed a type conversion of the returned result. In essence, the application logic of the target method is realized by between. Therefore, the updates of BaseSingleFieldPeriod have probabilities to introduce the modifications of TestDays. Another example is from the Commons-csv project [2], where a commit modified both the production code and the test code. For the production class CSVParser, developers only deleted some obsolete code. In contrast, a lot of contents that are related to the CSVFormat in the test class are modified. After a closer inspection of CSVFormat, we find that it performed a code refactoring activity in this commit, resulting in updates to all code that used this production class.

(5) Indirectly Related Test Code Updates (9): The test code that is dependent on the target test code is denoted as *indirectly related test code*. Similar to category 4, test code updates due to the **refactoring activities** of other test code account for the major part, especially for test code with inheritance/dependent relationships. For instance, a commit [8] modified the function signature, leading to the updates of all the test code (such as GraggBulirschStoerStepInterpolatorTest) that uses this function. The corresponding production code GraggBulirschStoerStepInterpolatorTest Interpolator [7] changed the function access rights from "protected" to "public."

(6) Others (2): The test code updates that are designed to trigger production bugs/errors are placed in this category. In the commons-compress project, a test method testCompress264 [6] was added with the commit message "add a (failing) Unit Test." As the comments show, the "COMPRESS-264" issue would be triggered by the added test case. In the next commit, the developer fixed this issue and updated the name of the test case. Obviously, the test code update in this case is not caused by the modifications of associated production code; instead, it would trigger subsequent production code changes. However, the corresponding production code ZipFile [5] extracted the custom exception code to a new helper class.

➡ For the *change pairs* where the test code changes are not triggered by the changes of their associated production code, the reasons for their test code updates are varied, from which perfective maintenance accounts for the major part. Besides, other file changes (e.g., indirectly related test and production code) may also cause test code updates. Assumption 1 attributes test code changes to updates of associated production code without comprehending their changes and understanding their relationship, thus introducing false positives.

## **3 CO-EVOLUTION IDENTIFICATION METHOD**

Our empirical study has confirmed that the assumption of prior studies does not always hold in practice and the methods used by prior work to identify *PT co-evolution samples* may introduce noise. This motivates us to explore whether the introduced noise has a significant impact on the conclusions of the downstream tasks. As opposed to visualization, the detection task allows us to measure the impact of noise quantitatively and will therefore be adopted as the experimental object. Obviously, conducting studies based on a manually labeled dataset can mitigate this threat. But this requires expensive human resources and time costs. In addition, it is not feasible to rely only on manually labeled data for machine-learning- and deep-learning-based methods, which usually require a large number of samples to train good models. Based on the findings from our empirical study, we propose a method to identify *PT co-evolution samples* accurately. We refer to this approach as **CHOSEN**, an identifi<u>C</u>ation met<u>H</u>od <u>O</u>f production-te<u>S</u>t co <u>E</u>volutio<u>N</u>.

## 3.1 RQ2: Impact of Noise on JIT Detection Methods

We select the JIT OTCD [81] as the example task. Prior work showed that developers may forget/ignore updating test code [50, 81]. OTCD can remind developers to update obsolete tests just after they change the production code, which can help developers (1) find the obsolete tests that would not fail and (2) find the obsolete tests that would fail without executing them. Thus, OTCD can be beneficial for improving test coverage and reducing test costs. Our empirical study has confirmed that SITAR's identification method [81] would introduce some noise. Hence, we perform a preliminary experiment to answer the following questions:

### RQ2: What is the impact of noise on existing JIT OTCD methods?

**Experiment Settings.** Since SITAR has no publicly available tools or source code, we implemented SITAR based on their descriptions and obtained similar detection performance on the dataset provided by Wang et al. Following Wang et al. [81], we adopt random forest [53] with default hyper-parameters set by scikit-learn as the detection model. We make our re-implementation publicly available for further inspection.<sup>3</sup>

**Data Collection.** Since the experiment requires manually labeled datasets, we select three projects on which SITAR achieves good, moderate, and poor detection performance, namely Flink, Log4j2, and jsoup, respectively. This selection way can verify that the effect of noise is universal, regardless of whether the detection method performs well or poorly. Moreover, we observe that most test modification time remains in the initial phase of the project history for the provided dataset [34]. Then, we construct the *product-test change pairs* for each latest version of the project, strictly following the steps in the paper [81].

**Data Splitting.** We divide the *production-test change pairs* into positive and negative samples using SITAR's identification method. Unlike SITAR, which randomly splits *change pairs* to obtain the training set and test set, we sort samples in the ascending order of production change commit and put the first 80% samples into the training set; meanwhile, we shuffle the remaining 20% samples into the test set. This way ensures that all production code updates in the training set occurred before those in the test set, similar to prior studies [49, 87]. Subsequently, we randomly sample 132, 262, and 347 pairs from the test set for jsoup, log4j2, and Flink, respectively, which represent the population with a 95% confidence level and 5% margin of error. Given that our empirical study has confirmed that SITAR's identification method introduces some noise, we refer to these sampled test sets as **noise test sets**. To establish the ground truth, we manually relabel the sampled noise test set and refer to the resulting test sets as the **annotated test set**.

**Evaluation Metrics.** Following the previous work [81], we compare SITAR's performance on the noise test set and the annotated test set in terms of accuracy, precision, recall, and F1-score to quantify the effect of noise on the detection method. Considering the randomness of random forest, we ran each experiment 10 times to obtain averages for analysis. In addition, we compute *p-value* (probability value) and examine the significance of the performance differences.

**Results.** Table 3 presents the average performances of SITAR on the noise/annotated test set, where the last column gives the calculated p-values. On the annotated test sets of the three projects, SITAR's precision decreases by at most 13.33% and recall increases. The p-values are less than 0.05 in terms of all evaluation metrics, implying that the differences in detection performance are significant on these test sets. The above observations can be explained as follows. The precision metric is computed by  $\frac{TP}{P}$ , where TP and P refer to the number of true positives and the total number of positive predictions, respectively. Since the classifier stays unchanged, P is equal for

<sup>&</sup>lt;sup>3</sup>https://anonymous.4open.science/r/CHOSEN-DE81.

Projects	Matrice	Annotated Test Set	Noise Test Set	Error	p-value
Flojects	wietrics	<i>(x)</i>	(y)	( y - x )	(y vs. x)
	Acc.	58.64%	54.55%	4.09%	< 0.05
Isoup	Prec.	38.63%	51.96%	13.33%	< 0.001
Jsoup	Rec.	50.24%	45.81%	4.43%	< 0.05
	F1.	43.68%	48.69%	5.01%	< 0.001
	Acc.	60.73%	57.29%	3.44%	< 0.05
Log4i2	Prec.	51.11%	57.94%	6.83%	< 0.05
LUG4J2	Rec.	67.17%	60.22%	6.95%	< 0.05
	F1.	58.05%	59.06%	1.01%	< 0.001
	Acc.	66.34%	55.85%	10.49%	< 0.05
Flipl	Prec.	72.52%	77.56%	5.04%	< 0.001
FIIIK	Rec.	57.69%	48.20%	9.49%	< 0.001
	F1.	64.26%	59.45%	4.81%	< 0.001

Table 3. Performance of Detection Method Trained on Noise Training Set, Tested on Noise vs. Annotated Test Set

both test sets. After relabeling the test set (i.e., removing noise), the size of positive samples would become smaller than before, leading to a decrease in terms of precision.

**Explanation.** It is important to note that there is a discrepancy between our obtained detection performance and published results [81]. This is because (1) the sizes of our used datasets are different—our newly constructed dataset contains the latest commits of each project, and thus contains more samples, and (2) SITAR split their dataset randomly, which may lead to information leakage, whereas we use the production modification time to split our dataset.

➡ The noise causes detection performance on the testing set to be significantly different from its actual performance, with an average difference of 6.01%, 8.40%, 6.96%, and 3.61% in accuracy, precision, recall, and F1-score, respectively.

## 3.2 Proposed Approach

3.2.1 Problem Formulation. Given a production-test change pair  $\langle change_p, change_t \rangle$ , where change<sub>t</sub> is the test code change and change<sub>p</sub> is the latest change of the associated production code that occurs in the same commit of or before change<sub>t</sub>, **CHOSEN** determines whether change<sub>t</sub> is triggered by change<sub>p</sub>, i.e., a positive/co-evolution sample.

*3.2.2 Usage Scenario.* Practitioners and researchers can use **CHOSEN** to construct *PT coevolution samples* accurately. Such samples are the basis of or are useful for various downstream tasks.

JIT obsolete test code detection [81]. CHOSEN can be used to assist developers in performing JIT obsolete test code detection [81]. The test set built from CHOSEN can measure the performance of models more accurately. The dataset constructed by CHOSEN can train a better detection model.

**Mining co-evolution scenarios [42, 55, 88].** Based on the *PT co-evolution samples* constructed by **CHOSEN**, developers and test engineers can explore different *PT co-evolution* scenarios, including synchronous and phased, to gain insight into the testing process. For detailed instances and explanations of synchronous and phased *PT co-evolution*, please refer to the literature [88].

**Fault localization** [72]. Considering the bug fixing cases, these often occur with additions/modifications of tests (to validate the repair action). Thus, when a test execution fails, **CHOSEN** can roughly locate the class-level fault production code by identifying the production code that often evolves in concert with the failure test case.

Linking production and test code [83]. CHOSEN has the potential to establish relevance links between tests and code units. Given a series of candidate links  $\{\langle t, p_1 \rangle, \langle t, p_2 \rangle, \dots, \langle t, p_n \rangle\}$ where t refers to the test code and  $p_i$  represents various production code, developers can utilize CHOSEN to mine the amount of co-evolution of production and test code for each candidate link. Intuitively, the greater the number of co-evolutions, the greater the likelihood of correlation, as the co-evolution of tests and code units reflects a probable link between them. The rationale is that the *PT co-evolution* sample refers to a pair of a test code change and a production code change where the test code change triggers or is triggered by the production code change, which means that there is a relevance coupling between them. For example, when developers fix bugs in production code, they usually introduce or modify test code to evaluate the fixed parts. Similarly, when developers implement a new feature or update an existing one, they may introduce or update test code related to that feature simultaneously or later. Even if the identified PT co-evolution by CHOSEN is irrelevant (i.e., false positives), these should be eliminated by the number of PT co-evolutions as it is unlikely that the same irrelevant changes will be repeated. Thus, the more frequently t and  $p_i$ co-evolve, the stronger the relevance coupling between them. In other words,  $p_i$  is more likely to be the associated production code of *t*.

3.2.3 Approach. Our method includes two stages, namely *initial label estimation* and *multi-strategy-based label determination*.

**Stage 1: Initial label estimation:** Based on our empirical observations, when a test class is updated within 12 hours (94.82% of *PT co-evolution samples* are less than 12*h*) after modifying its associated production code, **CHOSEN** regards it as an initial positive sample. Otherwise, it is an initial negative sample.

**Stage 2: Multi-strategy-based label determination:** Through Stage 1, an initial label is assigned to each *production-test change pair*. Subsequently, we propose five strategies to adjust the label of *change pair* based on the experience gained from our empirical studies.

Strategy 1: The type of the associated production code change or the test code change is non-modification type and there are no production/test changes between their commits  $\rightarrow$  "POSITIVE." Intuitively, the deletion and addition of the test file should be closely related to the associated production file. Hence, the non-modification types (e.g., ADD or DELETE) of production-test change pairs are likely to be *PT co-evolution samples*. Our empirical investigation also confirms this intuition: among the four non-modification change pairs with T > 12h, all the change pairs are *PT co-evolution samples*. For more details, please refer to our empirical study dataset.<sup>4</sup> Besides, we have added a constraint that there are no production/test changes between their commits

Strategy 2: There are additional production code modifications between production code change commit and test code change commit  $\rightarrow$  "NEGATIVE." As shown in Table 2, the *Ts* of 94.82% of *co-evolution samples* are committed less than 12 hours apart. Once there are multiple production code modifications before the test updates, it is reasonable to consider that earlier production change is insufficient to introduce test modifications.

Strategy 3: The changes of the production or test code involve only import changes, and the intersection of import modification is empty  $\longrightarrow$  "NEGATIVE." As shown in Figure 5, the test code change patterns involve some modifications related to import activities, such as **updates** 

<sup>&</sup>lt;sup>4</sup>https://anonymous.4open.science/r/CHOSEN-DE81.

of used test libraries/frameworks, removal of redundant imports, and formatting imports. We observe that a commit might update the import statements in multiple files. However, these modifications are not related to each other, such as [18]. Hence, for Strategy 3, we aim to adjust the label of *production-test change pairs* containing changes of import statements. CHOSEN needs first to ensure that the production or test code only modifies the import statements. Once the intersection regarding import changes is empty, CHOSEN identifies this *production-test change pair* as a negative sample.

**Strategy 4:** *There is no semantic relevance between the changes of the production and test code.*—> *"NEGATIVE.*" Generally, test code is responsible for validating whether the production code behaves as expected, which means that test code should be semantically related to the associated production code, for example, adding a new test method to cover a production method or updating test asserts to validate production code changes. Hence, we consider the initial positive *change pairs* with no semantic relevance as *non-co-evolution samples*.

To measure the semantic relevance between the test and the production code changes, we collect the changed contents of the class body, remove the changes of comments or format as well as the import statement, and tokenize collected changed contents by spaces and punctuation. **CHO-SEN** regards a *production-test change pair* as a negative sample, when the tokenized change set of production and test code has nothing in common.

Strategy 5: The type of modification involves annotations, modifiers, and refactoring op*erations* — *"NEGATIVE.*" The empirical study shows that certain modification activities should not cause *PT co-evolution*. Among 22 false positives with T = 0s, 10 (45.5%) samples are the change pattern of "Variable Modifier Modification" (as shown in Figure 5). Additionally, the maintenance activities can update the annotations in the test code, such as adding some missing annotations and suppressing warnings. Moonen et al. have indicated that even though refactorings are behavior preserving, they potentially invalidate tests [65]. Hence, we consider a *change pair* as a negative sample when the test code only contains refactoring actions. We utilize the RefactoringMiner [77, 78] to mine refactorings of two given revisions of the project. Compared to other refactoring detection tools (such as RefDiff [71]), RefactoringMiner has some unique features: (1) it does not depend on the similarity threshold of the code, (2) it supports low-level refactoring that occurs within the method body, and (3) it can detect nested refactoring operations in a single commit. The experimental results also show that RefactoringMiner achieves the highest average precision and recall in identifying refactoring operations [77]. For the test code change, we first extract its modification list. If the modification list is empty after removing all the modifications related to refactoring operations, we classify the change pair as a negative sample.

Algorithm 1 provides details of Stage 2. We suppose a *production-test change pair* {*pro<sub>i</sub>*, *test<sub>i</sub>*, *tag<sub>i</sub>*}, where the elements in the triple refer to the associated production code change, test code change, and the label estimated by Stage 1, respectively. We collect the changed lines for *test<sub>i</sub>*, indicated by *change<sub>test</sub>*. When the *tag<sub>i</sub>* is NEGATIVE, **CHOSEN** checks the file change type of *pro<sub>i</sub>* and *test<sub>i</sub>*. Once one of the change types is not MODIFY and the *change pair* has no other production/test changes between their commits, it is identified as a *PT co-evolution sample* (lines 2–4). We consider those initial positive samples satisfying Strategy 2 as negative samples (line 6–8). The remaining positive samples would be applied to Strategy 3, Strategy 4, and Strategy 5. Specifically, we use the GumTree to extract the edit actions of *pro<sub>i</sub>* and *test<sub>i</sub>*, denoted *action<sub>pro</sub>* and *action<sub>test</sub>*, respectively. When the *action<sub>pro</sub>* or *action<sub>test</sub>* only involves import edits and the intersection of import edits is empty, we identify the *change pair* as a negative sample (lines 9–15). We exclude from the *co-evolution* set those samples owing the non-semantic relevance using Strategy 4 (lines 16–20). When *action<sub>pro</sub>* or *action<sub>test</sub>* is caused by annotation or modifier activities, we adjust the *change pair*'s label. Furthermore, RefactoringMiner [77, 78] is utilized to mine refactorings of two given

```
ALGORITHM 1: IDENTIFICATION METHOD
   Input: Samples /*The dataset to be filtered*/
         \delta /*A predefined parameter*/
   Output: pos<sub>sample</sub>, neg<sub>sample</sub>={}, {}
     1: for (each element (pro_i, test_i, tag_i), where i \in [1, n]) do
            if (tag_i \in \{\text{NEGATIVE}\} and type_{pro} or type_{test} \notin \{\text{MODIFY}\} and \text{HasNonProBetween}
     2:
            (pro<sub>i</sub>,test<sub>i</sub>) then
              pos_{sample} \leftarrow \langle prod_i, test_i, POSITVE' \rangle
     3:
           end if
     4:
            if (taq_i \in \{POSITVE\}) then
     5:
               if (HAsProBetween(pro<sub>i</sub>,test<sub>i</sub>)) then
     6:
                  neq_{sample} \leftarrow \langle pro_i, test_i, `NEGATIVE' \rangle
     7:
               end if
     8:
               if (GETCHANGETYPE(pro_i) \text{ or } GETCHANGETYPE(test_i) \in \{Import\}) then
     9:
                  Import_{pro} \leftarrow GETDIFFTOKEN(pro_i)
    10:
                  Import_{test} \leftarrow GETDIFFTOKEN(test_i)
    11:
                  if GETINTERSECTIONRATIO(Import_{pro}, Import_{test}) == 0 then
    12:
                     neg_{sample} \leftarrow \langle pro_i, test_i, NEGATIVE' \rangle
    13:
                  end if
    14:
               end if
    15:
               Content_{pro} \leftarrow GETDIFFToken(pro_i)
    16:
               Content_{test} \leftarrow GETDIFFToken(test_i)
    17:
               if (GETINTERSECTIONRATIO(Content<sub>pro</sub>, Content<sub>test</sub>) \leq \delta) then
    18:
                  neg_{sample} \leftarrow \langle pro_i, test_i, NEGATIVE' \rangle
    19:
               end if
    20:
               if (GETCHANGETYPE(pro_i) or GETCHANGETYPE(test_i) \in \{Modifier, Annotation\} and
    21:
               GETCHANGETYPE(test_i)) \in {Refactoring} ) then
                  neq_{sample} \leftarrow \langle pro_i, test_i, 'NEGATIVE' \rangle
    22:
    23:
               end if
            end if
    24:
    25: end for
    26: Return pos<sub>sample</sub>, neg<sub>sample</sub>
```

revisions of a project. Refactoring Miner can obtain the set of changed rows (denoted  $Ref_{test}$ ) due to refactoring. If  $change_{test} \subseteq Ref_{test}$ , the *change pair* can be considered a negative sample (lines 21–23).

## 4 EVALUATION

We conduct a series of experiments to evaluate the performance of our proposed identification method. This section provides details about the experimental settings and results.

## 4.1 Research Questions

Given a *production-test change pair*, we expect that **CHOSEN** can precisely determine whether the test code co-evolves with the production code. Thus, it is required to examine the effectiveness of **CHOSEN** in identifying PT co-evolution samples. To demonstrate that our proposed method remains available for downstream tasks, we further explore the performance of our approach in

other tasks, e.g., JIT OTCD. The experimental studies help us answer the following four research questions:

- RQ3: How effective is CHOSEN at identifying PT co-evolution?
- RQ4: How useful is CHOSEN in JIT OTCD?
  - ◊ RQ4.1: Could the test set constructed by CHOSEN be used to measure the performance of the detection method more accurately?
  - ♦ RQ4.2: Could the dataset constructed by **CHOSEN** be used to train a better OTCD model?
  - ◊ RQ4.3: How does CHOSEN perform on other projects?

## 4.2 RQ3: The Effectiveness of CHOSEN

4.2.1 Baselines. We implement two baselines to compare with our method:

**Random guess (RG).** Random guess is a particular baseline that directly employs the data distribution obtained from our empirical study to identify *PT co-evolution samples*. This baseline is usually used when there is no previous method for the research question [84]. Specifically, the ratio of co-evolution samples in our empirical study is 51.05%, and then, the RG baseline will randomly select approximately 51.05% of *production-test change pairs* as positive samples. Note that the AUC of RG is always 0.5 [84]. To reduce the bias of randomly selecting, we run each experiment 10 times and report the average results.

**SITAR's identification method (SITAR\_IM), following Assumption 1.** Recently, Wang et al. [81] proposed SITAR, a machine-learning-based approach, to detect whether a piece of test code should be updated when developers modify the production code. SITAR consists of two components: (1) the construction of *PT co-evolution samples* (positives) and *PT non-co-evolution samples* (negatives) and (2) designing several structural code features and training a detector to detect obsolete test code, based on the mined samples. SITAR uses the following strategies to identify *PT co-evolution samples*: (1) positive sample, if a test class is updated within 48 hours since its associated production class is changed, and (2) negative sample, if the test code has not changed within 480 hours. Since Wang et al. [81] does not name the component of identifying *PT co-evolution samples*, we name it as *SITAR\_IM* for the sake of description. In this article, unless otherwise specified, SITAR refers to the obsolete test code detection method, and *SITAR\_IM* refers to the method for identifying *PT co-evolution samples* in SITAR.

4.2.2 *Experimental Setup.* The design and settings of the experiments are described in this section.

**Data Collection.** We propose **CHOSEN** based on 380 labeled samples used in the empirical study. Evaluating **CHOSEN** on these observed samples would lead to overfitting, which is undesirable. Thus, following Section 2.1, we re-select and label another set of 380 samples from the 33,567 *production-test change pairs* while ensuring that there is no overlap or duplicate samples between these 380 samples and that of our empirical study. These 380 samples will be used as ground truth to verify the effectiveness of **CHOSEN** and RG. Notably, SITAR\_IM does not provide an identification strategy for *change pairs* with 48h < T < 480h. Therefore, we filter the 380 samples, retaining only the *change pairs* that satisfy SITAR\_IM's identification requirements. In summary, we re-collect and label 380 samples for RQ3. We compare the effectiveness of **CHOSEN** and RT on the 380 samples while comparing the effectiveness of **CHOSEN** and SITAR\_IM on the filtered dataset consisting of 343 samples.

**Evaluation Metrics.** We use accuracy, precision, recall, F1-score, and AUC [69] as evaluation measures. The accuracy directly reflects the amount of noise introduced. The precision and recall allow us to evaluate the proportion of true positives among all positive predictions and all positive examples retrieved, respectively. Since precision and recall are tradeoffs, the F1-score is a valuable

Method	Acc.	Drag	Positive	<b>D</b> 1	Duo o	Negative	<b>D</b> 1	AUC
		Prec.	Rec.	Г1.	Prec.	Rec.	Г1.	
RG	48.68%	46.39%	49.72%	48.00%	51.08%	47.74%	49.35%	50.00%
CHOSEN	92.89%	89.29%	96.69%	92.84%	96.74%	89.45%	92.95%	93.07%
Improvement	90.81%	92.46%	94.44%	93.41%	89.41%	87.37%	88.35%	86.13%
SITAR_IM	81.63%	73.95%	99.44%	84.82%	99.05%	62.65%	76.75%	81.04%
CHOSEN	92.71%	89.18%	97.74%	93.26%	97.32%	87.35%	92.06%	92.54%
Improvement	13.57%	20.59%	-1.70%	9.95%	-1.75%	39.42%	19.95%	14.19%

Table 4. Effectiveness of CHOSEN vs. RG and SITAR\_IM

Table 5. The Confusion Matrix of CHOSEN vs. RG and SITAR\_IM

Actual Classification	CHOSEN	Prediction	RG Pr	ediction
of 380 Samples	Positive	Negative	Positive	Negative
Positive	175	6	90	91
Negative	21	178	104	95
4 4 1 61 10 41	0770073			
Actual Classification	CHOSEN	Prediction	SITAR_IN	A Prediction
of 343 Samples	Positive	Negative	SITAR_IN Positive	A Prediction Negative
Actual Classification of 343 Samples Positive	CHOSEN Positive 173	Vertication Negative 4	SITAR_IN Positive 176	A Prediction Negative 1

metric as it enables us to figure out which methods handle the tradeoff best. Finally, we employ the AUC since it provides a threshold-independent perspective of each technique's performance.

4.2.3 Answer to RQ3. How effective is CHOSEN at identifying PT co-evolution? Table 4 provides the performance comparison of CHOSEN and the baseline methods, including RG and SITAR IM, when determining whether a change pair is a PT co-evolution sample (positive: coevolution pair, *negative*: non-co-evolution pair). Table 5 reports the confusion matrix of CHOSEN, RG, and SITAR IM. In Table 4, we display the precision, recall, and F1-score of compared methods on both positive and negative classes. Since accuracy and AUC have identical values for both categories, we present them in a separate column. Accuracy measures the overall correctness of the classifier's predictions, regardless of the class label, and therefore is the same for both classes [76]. Additionally, to calculate AUC [48] metrics for different identification methods, we assigned a prediction probability of 1.0 to positive predictions and 0.0 to negative predictions, as the identification methods, including CHOSEN, do not provide prediction probabilities for the identification results. Using the assigned prediction probabilities and information in the confusion matrix (Table 5), we determined AUC values for each identification method. Our results indicate that AUC values are the same for both positive and negative categories in this article. In terms of identifying PT co-evolution samples, results show that CHOSEN achieves 93.07% of AUC and improves over RG by 86.13% and 93.41% in terms of AUC and F1-score, respectively. Because SITAR IM does not identify production-test change pairs with 48h < T < 480h, we filter out such change pairs from the 380 samples to compare with SITAR IM. Table 4 shows that, although CHOSEN performs slightly worse on recall, the F1-score and AUC of CHOSEN are 93.26% and 92.54%, respectively, improving by 9.95% and 14.19%. The above results can be explained as follows. SITAR IM identifies all PT co-evolution samples that occur within 48 hours as positive samples, thereby obtaining a high recall value. Its disadvantage is that it does not distinguish PT co-evolution samples and

Project	From	#Commits	#Files	#KLoC
ActiveMQ	[81]	10,951	4,322	408.5
BioJava	[35, 81]	6,540	1,298	145.1
CloudStack	[81]	34,641	5,811	683.0
Math	[81]	6,622	1,165	149.7
dnsjava	[81, 83]	2,031	280	33.1
Geode	[81]	10,735	9,018	1,381.1
Gson	[62, 81]	1,485	208	25.3
James	[81]	12,939	5,447	446.6
JRuby	[81]	50,346	1,750	264.0
PMD	[62, 81]	17,768	3,289	348.5
Storm	[81]	10,462	2,434	300.6
Usergrid	[81]	10,954	2,097	175.2
IzPack	[35, 81]	5,667	1,112	105.3
Zeppelin	[81]	5,012	916	158.0

Table 6. Selected Projects for Evaluation

*non-co-evolution samples* when the time interval is short, introducing noise. Since **CHOSEN** identifies *PT co-evolution samples* case by case, it can achieve higher precision by better distinguishing *PT co-evolution samples* and *non-co-evolution samples* when the time interval is less than 48 hours. In terms of identifying *PT non-co-evolution samples*, results show that **CHOSEN** improves over RG by 88.35% in terms of F1-score. Although **CHOSEN** performs slightly worse on precision than SITAR\_IM, the recall and F1-score of **CHOSEN** are 87.35% and 92.06%, respectively, improving by 39.42% and 19.95%. The above results can be explained as follows. SITAR\_IM directly identifies the production-test change pair with T > 480h as *PT non-co-evolution sample*. Our empirical study has confirmed that when the *T* exceeds 480 hours, the change pair has a high probability of being a *PT non-co-evolution sample* (more than 95%), so SITAR\_IM has a high precision value. In contrast, the recall value of SITAR\_IM is much lower than that of **CHOSEN**, since SITAR\_IM does not consider the negative samples that occurred within 48 hours.

■ Our CHOSEN can effectively identify *PT co-evolution*. It improves SITAR\_IM and RG by 13.57% and 90.81%, respectively, in terms of accuracy, and by 9.95% and 93.41%, respectively, in terms of F1-score. Moreover, CHOSEN can effectively identify *PT non-co-evolution*. It improves SITAR\_IM and RG by 39.42% and 87.37%, respectively, in terms of recall, and by 19.95% and 88.35%, respectively, in terms of F1-score.

### 4.3 RQ4: The Usefulness of CHOSEN in JIT OTCD

*4.3.1 Experimental Setup.* We provide more details of the design and settings of the experiments in this section.

**Data Collection.** To answer RQ4.1 and RQ4.2, we have followed the dataset used in RQ2 (more descriptions in **Data Splitting** of Section 3.1). As for RQ4.3, we select the 14 projects widely used in *PT co-evolution*-related research studies [35, 81, 83]. Table 6 summarizes the detailed information of these 14 projects. We construct *production-test change pairs* for each project and undersample the majority class to balance the sample label distributions. We adopt the same way of splitting data as mentioned in Section 3.1. We adopt SITAR\_IM to divide the dataset to obtain the *noise training set* and *noise test set*. Then, the *noise training set* and *noise test set* after applying

Ductor	Matrice	Annotated Test Set	Noise Test Set	CHOSEN Test Set	Error	Error	p-value
Projects	Metrics	( <i>x</i> )	(y)	(z)	( y - x )	( z - x )	$(y \ vs. \ x)$
	Acc.	58.64%	54.55%	59.32%	4.09%	0.68%	< 0.05
Isoup	Prec.	38.63%	51.96%	38.61%	13.33%	0.02%	< 0.001
Jsoup	Rec.	50.24%	45.81%	51.71%	4.43%	1.47%	< 0.05
	F1.	43.68%	48.69%	44.21%	5.01%	0.53%	< 0.001
	Acc.	60.73%	57.29%	59.47%	3.44%	1.26%	< 0.05
Log4i2	Prec.	51.11%	57.94%	48.52%	6.83%	2.59%	< 0.05
LUg4J2	Rec.	67.17%	60.22%	67.25%	6.95%	0.08%	< 0.05
	F1.	58.05%	59.06%	56.37%	1.01%	1.68%	< 0.001
	Acc.	66.34%	55.85%	66.25%	10.49%	0.09%	< 0.05
Flink	Prec.	72.52%	77.56%	72.87%	5.04%	0.35%	< 0.001
FIIIK	Rec.	57.69%	48.20%	57.38%	9.49%	0.31%	< 0.001
	F1.	64.26%	59.45%	64.20%	4.81%	0.06%	< 0.001
Aver	rage				6.24%	0.76%	

Table 7. Performances of Detection Method Trained on Noise Training Set, Tested on Noise vs. **CHOSEN** Test Set

our proposed method are indicated as *CHOSEN training set* and *CHOSEN test set*, respectively. A dataset with the prefix *annotated* means that the dataset has been relabeled manually.

**Evaluation Metrics.** Following the previous work [81], we compare SITAR's performance in terms of accuracy, precision, recall, and F1-score to quantify the usefulness of identification methods. Considering the randomness of random forest, we ran each experiment 10 times to obtain averages for analysis. In addition, we compute both *p-value* (probability value) and *effect size* to examine the significance of the performance differences. Specifically, we utilize the paired Mann Whitney-Wilcoxon test [36] to verify whether there are statistically significant differences among the investigated methods. The *p*-value is less than 0.05, implying a significant difference between the two compared methods. Meanwhile, the non-parametric Cliff's delta effect size is used to evaluate the amount of the difference<sup>5</sup> between the two approaches.

4.3.2 Answer to RQ4.1. Could the test set constructed by CHOSEN be used to measure the performance of the detection method more accurately? We evaluate SITAR's detection performance on the noise/CHOSEN test set. The detection performance on the annotated test set is considered as the ground truth. Table 7 shows that the noise test set leads to average performance errors of 6.01%, 8.40%, 6.96%, and 3.61% in terms of accuracy, precision, recall, and F1-score, respectively. In contrast, those errors are only 0.68%, 0.99%, 0.62%, and 0.76% on the test set labeled by CHOSEN. Statistical results show the *p*-value < 0.001 and the *cliff's delta* = 0.94, meaning that the performance errors caused by the noise test set are significantly greater than those caused by the CHOSEN test set. Therefore, compared to SITAR\_IM, CHOSEN can be used to construct a better test set, which can more accurately measure the performance of OTCD models.

4.3.3 Answer to RQ4.2. Could the dataset constructed by CHOSEN be used to train a better OTCD model? We trained the detection model on the noise/CHOSEN training set, respectively, and tested them on the annotated test set. Table 8 lists the accuracy, precision, recall, and F1-score in percentage. The improvements in terms of accuracy range from 1.30% to 18.03%, and the average improvement is 12.00%. The range of precision improvements is between -1.71% and 30.39%. Meanwhile, START obtains a 13.50% average improvement in terms of F1-score. Overall, the CHOSEN training set can be used to train a better detection model than the noise training set. One possible

<sup>&</sup>lt;sup>5</sup>We use the following mapping for the values of the delta that are less than 0.147, between 0.147 and 0.33, between 0.33 and 0.474, and above 0.474 as "*Negligible (N)*," "*Small (S)*," "*Medium (M)*," and "*Large (L)*" effect size, respectively [40].

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Projects	Metrics	Noise Training Set	<b>CHOSEN</b> Training Set	Improvement
	Acc.	58.64%	68.41%	16.66%
Jsoup	Prec.	38.63%	50.37%	30.39%
Jsoup	Rec.	50.24%	56.19%	11.84%
	F1.	43.68%	53.12%	21.62%
	Acc.	60.73%	71.68%	18.03%
Log4i2	Prec.	51.11%	63.05%	23.36%
LUg4J2	Rec.	67.17%	70.95%	5.63%
	F1.	58.05%	66.77%	15.02%
	Acc.	66.34%	67.20%	1.30%
Fliple	Prec.	72.52%	71.28%	-1.71%
FIIIK	Rec.	57.69%	62.75%	8.77%
	F1.	64.26%	66.74%	3.86%

Table 8. Performance of Detection Method Trained on Noise vs. **CHOSEN** Training Set, Tested on Annotated Test Set

reason is that the distribution of the dataset constructed by **CHOSEN** is more consistent with the true distribution. For Flink, **CHOSEN** may introduce more noise when identifying *change pairs* of  $12h < T \le 48h$ , resulting in a slight decrease in precision.

4.3.4 Answer to RQ4.3. How does CHOSEN perform on other projects? In this section, we present CHOSEN's performance on other projects. Since it is too costly to manually label a test set for each project and Section 4.3.2 has demonstrated that CHOSEN only leads to few performance errors (< 1%), we use CHOSEN to label the test set for each project.

Table 9 reports the accuracy, precision, recall, and F1-score for all projects. We also provide the performance improvements in terms of each metric, where positive improvements are marked in grey. Results show that the detection models trained on **CHOSEN** training sets achieve average improvements of 8.32%, 13.85%, and 9.31% in terms of accuracy, precision, and F1-score, respectively. Compared with the noise training set, the **CHOSEN** training set can help the detection model achieve better recall in 11 out of the 14 projects. The statistical analysis displays that the *p*-values are less than 0.05, meaning that the **CHOSEN** training set is significantly better than the noise training set on all metrics. The effect-size results show that the dataset constructed by **CHOSEN** brings large performance differences in accuracy, medium performance differences in precision, and small performance differences in F1-score.

■ The test set constructed by **CHOSEN** measures the performance of OTCD models more accurately with an average error of 0.76%. The dataset constructed by **CHOSEN** can train a better detection model, with performance improvements of 12.00%, 17.35%, 8.75%, and 13.50% in terms of accuracy, precision, recall, and F1-score, respectively.

★ The dataset constructed by CHOSEN improves the performance of the detection model on other projects, where the average accuracy, precision, recall, and F1-score of the model improve by 8.32%, 13.85%, 4.86%, and 9.31%, respectively.

## 5 DISCUSSION

In this section, we further discuss the definition of Assumption 1, the limitation of empirical study, the limitation of our approach, the implications for practitioners and researchers, and the threats to the validity of this work.

Project	Noise Training Set (x) vs.	Acc.	Prec.	Rec.	F1.
	<b>CHOSEN</b> Training Set (y)				
4	X	57.45%	55.10%	49.13%	51.94%
ActiveMQ	<u>y</u>	67.82%	68.75%	57.48%	62.61%
-	Improvement	18.05%	24.77%	17.00%	20.54%
	x	71.59%	46.92%	43.13%	44.95%
BioJava	<u> </u>	77.41%	63.36%	37.95%	47.47%
	Improvement	8.13%	35.04%	-12.01%	5.61%
01 10 1	x	71.44%	61.98%	60.00%	60.97%
CloudStack	<u> </u>	71.56%	62.06%	60.65%	61.35%
	Improvement	0.17%	0.13%	1.08%	0.61%
	x	73.33%	62.80%	68.68%	65.61%
Math	<u> </u>	74.19%	64.19%	68.83%	66.43%
	Improvement	1.17%	2.21%	0.22%	1.25%
	X	64.42%	18.25%	43.33%	25.68%
dnsjava	<i>y</i>	70.96%	23.99%	47.33%	31.84%
	Improvement	10.15%	31.45%	9.23%	23.98%
	<i>x</i>	67.92%	78.01%	68.37%	72.87%
Geode	<i>y</i>	69.94%	81.43%	67.77%	73.97%
	Improvement	2.97%	4.38%	-0.88%	1.51%
	x	55.27%	51.99%	46.54%	49.11%
Gson	y	66.25%	68.80%	50.38%	58.17%
	Improvement	19.87%	32.33%	8.25%	18.43%
	<i>x</i>	62.37%	58.20%	59.71%	58.95%
IzPack	<u>y</u>	65.79%	61.95%	63.88%	62.90%
	Improvement	5.48%	6.44%	6.98%	6.71%
	x	48.33%	28.50%	36.36%	31.95%
JRuby	y y	48.79%	30.46%	42.27%	35.41%
	Improvement	0.95%	6.88%	16.25%	10.80%
	x	64.13%	64.79%	56.79%	60.53%
PMD	<u>y</u>	67.03%	68.63%	58.76%	63.31%
	Improvement	4.52%	5.93%	3.47%	4.60%
	x	62.50%	57.78%	53.39%	55.50%
Storm	y	72.50%	69.30%	66.61%	67.93%
	Improvement	16.00%	19.94%	24.76%	22.40%
	x	65.57%	41.57%	67.37%	51.41%
Usergrid	y	74.76%	52.67%	65.62%	58.44%
	Improvement	14.02%	26.70%	-2.60%	13.66%
	x	60.22%	42.55%	63.37%	50.91%
Zeppelin	y y	69.59%	52.71%	64.31%	57.94%
	Improvement	15.56%	23.88%	1.48%	13.79%
	x	70.18%	69.71%	70.00%	69.85%
James	<u> </u>	72.59%	72.12%	72.52%	72.32%
	Improvement	3.43%	3.46%	3.60%	3.53%
	x	63.91%	52.73%	56.16%	53.59%
Avg.	<i>y</i>	69.23%	60.03%	58.88%	58.58%
	Improvement	8.32%	13.85%	4.86%	9.31%
Statistical	<i>p</i> -value	$6.1035 \times 10^{-5}$	$6.1035 \times 10^{-5}$	0.0148	$5.4697 \times 10^{-4}$
results	cliff's delta	0.51	0.34	0.14	0.28
icouito	Effect-size	L	М	N	S

Table 9. Performances of CHOSEN in 14 Programs

## 5.1 The Definition of Assumption 1

The definition of Assumption 1 is based on prior research [42, 55, 81, 88] that employs time-based commit analysis to identify *PT co-evolution samples*. Existing work argues that *PT co-evolution* should occur together in one commit [42, 55, 88] or in a short time interval [81]. Actually, Assumption 1 was formulated to be able to mine *PT co-evolution samples*, since automatically determining *PT co-evolution* is a non-trivial task that requires precisely comprehending production and test code changes. Furthermore, Assumption 1 is reasonable because it aligns with the idea that if the modification of the production code results in obsolete test code, the obsolete test code should be promptly updated accordingly, since obsolete test code would increase the cost of development and maintenance [50, 65]. On the other hand, if the time interval between a production code change

and a test code change is too long, their modifications are likely to be uncorrelated. Careful readers may wonder if the definition of Assumption 1 is a bit too strict. However, since the identified *PT co-evolution samples* typically serve as the foundation for downstream tasks like JIT obsolete test code detection and fault localization [85, 86], the strict assumption can reduce noise and is favorable.

## 5.2 Limitation of Empirical Study

To enable the mining of *PT co-evolution samples*, existing *PT co-evolution-related* works widely use the following strategies to identify PT co-evolution samples: (1) focusing on unit test classes and taking advantage of naming conventions to ease the association of test and production classes and (2) collecting PT co-evolution samples based on Assumption 1; i.e., if a test class and its associated production class change together in one commit, or a test class changes immediately after the changes of the associated production class within a short time interval (denoted by T), this change pair should be a PT co-evolution sample. We conduct the empirical study with the goal of investigating whether the production-test change pairs that satisfy the assumption are always PT co-evolution samples. Therefore, we need to follow the above-mentioned assumption to construct the PT co-evolution samples. During the construction process, we identify all test code changes for each commit in the repository's main branch. For each test code change, denoted as  $change_{test}$ , we iterate through the commits before (and including) the  $change_{test}$  to find the latest production code changes. Note that there may be a situation where a set of changes applied to the production class in different commits results in a change in the corresponding test class. In such case, only the pair of the last production code change and the test code change is regarded as our PT co-evolution candidate following this assumption. Other pairs of production code changes and test code changes fall outside the scope of Assumption 1. It is worth mentioning that these change pairs do not threaten the validity of our empirical findings, as the purpose of our empirical study is to investigate whether the PT co-evolution samples that satisfy Assumption 1 introduce noise. We plan to explore other pairs in our future work.

### 5.3 Limitation of Our Approach

5.3.1 The Selection of Test-to-code Traceability Link Method. CHOSEN accepts a productiontest change pair  $\langle change_p, change_t \rangle$  as input. To construct a production-test change pair, CHOSEN needs to associate a production code snippet and a test code snippet. However, all existing test-tocode traceability link techniques have weaknesses that make them unsuitable for use as a general solution [83]. Therefore, following previous studies [81], we utilize a most common technique, i.e., naming convention. The specific conventions may vary between projects. However, the standard convention is that a test class should share the same name as the associated production class, with *test* prepended or appended [61, 68]. The naming convention can ensure that, for each test code change, once a production code is found by removing the *test* prefix or suffix of the test code, this production code must be the associated production code. Since Maven projects follow the standard directory layout, we only consider the projects written in Java language and managed by Maven in this article.

However, it does not mean that our approach only applies to Java projects. For example, mainstream python testing frameworks (e.g., pytest [32]) also follow naming conventions, where test methods/functions or test classes are expected to match the "test\_\*.py" or "\*\_test.py" pattern. As for projects that do not adhere to these conventions, we can leverage other test-to-code traceability link techniques [52, 67, 83]. For example, based on the assumption that the test code should be similar to the associated production code, Csuvik et al. [41] use word embeddings to create traceability links between the test classes and associated production classes. Therefore, **CHOSEN** 

<b>Time Interval</b> ( <i>T</i> )	Acc.	Prec.	Rec.	F1.
T = 0	88.68%	88.66%	89.12%	88.89%
T = 12h	88.16%	83.94%	94.82%	89.05%
T = 24h	86.84%	81.78%	95.34%	88.04%
T = 48h	85.53%	79.74%	95.85%	87.06%
T = 480h	77.89%	70.26%	97.93%	81.82%

Table 10.	PT Co-evolution Identification	Performance	under
Different	Time Intervals in 380 Samples	Constructed i	n the
	Empirical Study		

can be easily migrated to other languages/types of projects by accurately modeling the traceability relationship between test and production code.

5.3.2 Interpretation of the Identification Strategies. Given a production-test change pair, we propose a two-stage identification strategy to determine whether the production code change triggers the test code change. The proposed method is learned from the findings of the empirical study. In this section, we will discuss the intuition and interpretation of some identification strategies.

**Threshold in Stage 1.** Stage 1 of **CHOSEN** uses the time interval to initially estimate the label of *product-test change pair*. When the time interval between the test code change and the production code change is less than a threshold *T* (in this article, T = 12h), **CHOSEN** will treat it as an initial positive sample. Otherwise, it is an initial negative sample. The determination of the *T* is based on our empirical study, in which we set different values of *T*, i.e.,  $T = \{0, 12h, 24h, 48h\}$ , and compare the identification performance of *PT co-evolution* using these values in terms of accuracy, precision, recall, and F1-score, based on 380 labeled samples. The results of the comparison are presented in Table 10. From Table 10, we can observe that as *T* increases, the recall value gradually increases while the precision value gradually decreases. On the one hand, when T > 12h, increasing the *T* can bring slight recall improvement, but it dramatically reduces the precision. Although T = 0 gets the best precision performance, it is not optimal for the F1-score. On the contrary, the best F1-score result is achieved at T = 12h. Although T = 12h does not obtain satisfactory precision results, **CHOSEN** can further improve the precision performance through Stage 2's identification strategies.

**Strategy 1 in Stage 2.** The determination of Strategy 1 is based on the findings of our empirical study. We retain the *production-test change pairs* constructed in the empirical study satisfying Strategy 1 and obtain a total of 6,253 non-modification change pairs (i.e., the production changes or test changes are non-modification types). We observe some interesting facts regarding non-modification change pairs. We find that most change pairs involve the same non-modification type of change for both production and test code, with 5,185 samples (82.92%). For the remaining 1,068 samples, we randomly select 10 change pairs. By manually checking and analyzing test change reasons, such selected samples are all *PT co-evolution samples*. Obviously, considering only the change pairs of which the non-modification type change must occur and must be the same on both production changes and test changes as *PT co-evolution samples*, we may ignore some positives.

We further analyze the above-mentioned observations. On the one hand, some change pairs of different non-modification types are due to the modification type of production or test change being RENAME and COPY. Github offers five types of file change, including MODIFY, ADD, DELETE, RENAME, and COPY. RENAME is usually caused by changes to the file path, which can be seen as the

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Listing 5. FramedLZ4CompressorOutputStream.java change (simplified, commit 176cd18).



Listing 6. FramedLZ4CompressorOutputStreamTest.java change (simplified, commit 176cd18).

inde	x 5f2802e20000000
-	package org.apache.commons.compress.compressors.lz4;
-	import java.io.ByteArrayOutputStream;
-	import java.io.IOException;
-	import org.junit.Test;
-	public final class FramedLZ4CompressorOutputStreamTest {
-	<pre>@Test(expected = IllegalArgumentException.class)</pre>
-	public void illegalBlockSize() throws IOException {
-	new FramedLZ4CompressorOutputStream(new ByteArrayOutputStream(), 32 * 1024);
-	
-	}

Fig. 6. *PT co-evolution* example of production code modifications leading to test class deletion in commons-compress project.

deletion of files located in the old path and the addition of files located in the new path. Meanwhile, COPY operation adds a new file in the target file path. Secondly, some change pairs are due to developers modifying the production code resulting in the addition of a test class (which accounts for 678/1,068 cases). For example, in the ta4j project, the production code XorRule removed the check method and added a new isSatisfied method [9]. Three days later, the developers added a new test class XorRuleTest and a test case that tests the focal method isSatisfied [10]. In addition, we observe some uncommon cases where the developer simply removed the test class without removing the production code accepts a block size that does not fall within the specified range, an IllegalArgumentException is thrown, which is also tested by the corresponding test case. Subsequently, the developer removed the BlockSize enumeration class to enforce the use of a predefined block size. The changes to the production code make the test case redundant, and the test class contains only one test case. As a result, the developer directly deleted the entire test class.

**Strategy 2 in Stage 2.** For Strategy 2, we define a production-test change pair as a *PT non-co-evolution sample* when there are additional production code modifications between the production code change commit and test code change commit. The definition of Strategy 2 is based on the

following reasons: (1) Consistent with problem formulation (as described in Section 3.2.1). Strategy 2 allows us to focus on the co-evolution of the test changes with the most recent production changes. (2) Mapping to the PT co-evolution distribution of empirical study. Our empirical study shows that PT co-evolution usually occurs in a short time interval (T), and the number of PT non-co-evolution samples increases significantly whenever T increases slightly (as shown in Table 2). Thus, when there are multiple production code modifications prior to the test changes, it is reasonable to consider that the earlier production modifications are associated with the test modifications with low confidence. Strategy 2 ensures that CHOSEN constructs the PT co-evolution samples as precisely as possible. However, there may be multiple consecutive production code changes that occur in different commits and are associated with a test code update. In such a case, the last production and test code change must be a PT co-evolution sample, but CHOSEN would identify other change pairs as negatives, which may sacrifice some recall results while ensuring high precision performance. Improving the recall performance of CHOSEN in identifying PT co-evolution samples will be part of our future work.

## 5.4 Limitation of CHOSEN Application

Following previous research [42, 55, 81, 88], **CHOSEN** focuses on unit test classes and identifies the *PT co-evolution samples* where test modifications are triggered by production modifications. Interestingly, in our empirical research, we observe some cases where test code changes trigger subsequent production code modifications (i.e., category 6 in the taxonomy). Although such cases are not many, accounting for only 0.53%, limited by our study scope, **CHOSEN** cannot identify them. However, our qualitative analysis can offer insight for future researchers to address this limitation. As mentioned in Section 2.3, developers may, in order to fix a bug in production code, add a failed test case that triggers the bug [6]. Generally, with the addition of failed test cases, developers would explicitly describe modification intentions in commit messages and comments and assign an ID to denote the bug. When subsequent developers fix the production bug, they can refer to the previously defined bug ID in the corresponding commit message. This insight inspires us to identify test modifications that may trigger subsequent production modifications by extracting their comments and commit messages. Then, developers can use the assigned bug ID to traverse the commit history to search for production modifications.

## 5.5 Implications

Identifying *PT co-evolution samples* is crucial for helping developers understand the co-evolution process of a project (e.g., how source code entities co-evolve). Furthermore, the mined *PT co-evolution samples* can be used in downstream tasks to further facilitate co-evolution in a project, such as JIT OTCD. Our large-scale study in RQ1 confirms that the assumption used in the literature for identifying co-evolution samples is not always held, and even when production code changes and test code changes happen simultaneously, they are not necessarily *PT co-evolution samples*. In other words, production code changes do not always result in updates of corresponding test code. Therefore, we are not claiming it is a bad practice to not update test code as a consequence of production code changes. Additionally, our qualitative analysis reveals that the factors leading to test code updates are diverse, providing us with some lessons learned. In the following, we discuss the implications for researchers and/or practitioners from our findings.

5.5.1 Implications for Practitioners. Diversity of test code updates. Software engineers and developers should be aware that test code updates can be diverse and not always triggered by production code changes. Our empirical study has shown that a considerable number of test code changes are related to factors such as test framework updates, refactoring, or bug fixing within

the test code itself. In addition to the test code updates due to adaptive, perfective, and corrective maintenance activities, there are also indirectly related production code updates and indirectly related test code updates. Therefore, it is important to not solely rely on production code changes as an indicator of when to update test code and to attribute test code changes simply to production code changes. Additionally, developers should consider monitoring and analyzing the test code changes to ensure that the tests remain relevant and effective in detecting defects. Overall, being proactive in maintaining and updating test code can help to catch issues early and reduce the cost and effort of fixing bugs later in the development cycle.

**Diversity of software artifact co-evolution, including indirectly related production/test code.** We observed a series of cases where test code updates are due to other software artifacts, e.g., indirectly related production code and indirectly related test code. For example, a function signature change of an indirectly related production code leads to the modifications of a series of test files that call the method [13]. Similarly, for a test class with an inheritance relationship, changes in the parent class may cause updates in the subclass [8]. This highlights that when developers update source code entities, they also need to consider the impact of other code entities that are associated or inherited. The implications of this finding for software engineers and developers are significant. It suggests that developers should broaden their scope when updating software artifacts and be mindful of the potential ripple effects on other code entities. Specifically, they need to consider how updates to indirectly related code artifacts may affect the behavior and functionality of the entire system. This broader perspective can improve the quality and stability of software systems and reduce the potential for unexpected bugs and errors. By recognizing the diversity of co-evolution and adopting a more holistic approach to software updates, developers can enhance their software development skills and produce more robust and reliable software systems.

5.5.2 Implications for Researchers. **PT co-evolution identification is still an open problem.** Existing studies related to *PT co-evolution*, including this article, have primarily focused on code changes at the class level. However, there are still significant challenges and gaps in understanding method-level *PT co-evolution*. Therefore, further exploration of method-level *PT co-evolution* is necessary to provide better solutions for developers. Researchers can work toward developing new techniques and tools that can help identify finer-grained co-evolution between production code and test code, as well as the impact of test code changes on production code and vice versa, ultimately facilitating the *PT co-evolution* process. As discussed in Section 1, a major research challenge in identifying and mining *PT co-evolution samples* is how to associate a test code snippet and a production code snippet. In this article, we utilize the *naming convention* (NC) approach, which exhibits high precision, particularly in projects that strictly adhere to naming conventions. However, NC's performance is limited in projects that do not follow naming conventions. Therefore, there are many research opportunities here for researchers to further improve *PT co-evolution* identification by constructing more accurate test-to-code traceability links.

The dataset of other code entities' co-evolution is necessary. Our empirical study shows that the assumption used in previous studies for constructing *PT co-evolution samples* does not always hold and may introduce noise. However, manually collecting *PT co-evolution samples* is time-consuming for researchers. Therefore, we propose a method for automatically identifying PT co-evolution samples (i.e., CHOSEN). CHOSEN achieves promising performance (accuracy: 92.89%) and has the potential to help researchers construct high-quality *PT co-evolution samples* from the large amount of historical data stored in GitHub and other version control systems. However, software is multi-dimensional, and so is the development process behind it. This multi-dimensionality lies in the fact that other artifacts are required to develop high-quality source code, such as requirements, documentation, and so forth [63]. Our qualitative analysis has shown that there are various

forms of co-evolution scenarios, such as the co-evolution of test code with indirectly related production code and the co-evolution of test code with indirectly related test code. Therefore, in the future, it is necessary to construct standard datasets of different forms of co-evolution to facilitate researchers to perform co-evolution-related work.

#### 5.6 Threats to Validity

Despite our best efforts, we are aware of some threats to validity. In this section, we briefly discuss them, which are grouped into the following categories.

*5.6.1 Threats to Internal Validity.* Internal validity threats concern factors internal to our study that could influence our results.

**Manual Analysis.** The use of manual analysis could suffer from subjectivity bias for interpretation in determining the test update reasons. To mitigate this threat, we employed three volunteers to conduct a two-phase check. All test update reasons have a full inter-rater agreement.

**Saturation Evaluation.** To determine whether our manual analysis achieved saturation, we followed the common practice of previous studies [79, 80]: near the end of the manual analysis, the cards/tags collection can be considered saturation when new cards/tags no longer appear. Similarly, we found that after analyzing about 80% of the changed pairs, volunteers did not add any new tags. Thus, we claimed that our manual analysis had reached saturation. Additionally, we randomly selected 10% of the PT non-co-evolution samples from the evaluation dataset constructed by RQ3. Upon analysis of these test change reasons, we found that the test change patterns were always found in the taxonomy, providing further evidence that our manual analysis had reached saturation.

**Dependent Tools.** The detection of refactoring operations relies entirely on off-the-shelf tools, and to reduce the risk of tools, we choose state-of-the-art detection tools, which have wide application and high accuracy. Our method implementations involve the GumTree tool whose potential threat [44] may limit the effectiveness of our approach.

**Test-to-code Traceability Construction.** The third threat to internal validity is that constructing the production-test change pair may introduce traceability noise, i.e., the production code that is not the associated production code of the test code. Following previous work [81, 88, 89], we adopt the naming convention, a very high precision traceability technique in class level [83], with the goal of containing minimal traceability noise, although this technique can have a poor recall. On the other hand, this technique is ineffective if the project does not adhere to the naming conventions. Notwithstanding these limitations, as discussed in Section 5.3.1, **CHOSEN** remains easily transferable to projects that use other programming languages and do not follow naming conventions.

**Identification Strategies and the Mapping to the Taxonomy.** Our empirical findings inform the five identification strategies we propose. Strategies 1 and 2 are determined based on the distribution of *PT co-evolution samples*, while Strategies 3, 4, and 5 are derived from the summarized taxonomy. This article focuses on the co-evolution of a test class and its associated production class. Consequently, we developed strategies to identify *PT non-co-evolution samples* based on the maintenance activities of test code in the taxonomy, including adaptive maintenance, perfective maintenance, and corrective maintenance. Other code artifacts, such as indirectly related production code as well as indirectly related test code, resulting in test code updates, are beyond the scope of this article. RefactoringMiner can detect most test change patterns related to maintenance activities of test code in taxonomy, such as extracting methods, renaming methods/classes, and adding/removing annotations [77]. We define Strategy 4 to ensure that test and production changes are semantically relevant. However, some change patterns, such as "fix test code faults," are not covered by **CHOSEN**. Detecting and implementing these uncovered change patterns and

other test update categories will be part of our future work. **CHOSEN** is limited in identifying cases where test modifications trigger production modifications, but we provide possible solutions in Section 5.4. Finally, a potential threat to the validity of our study is that we only consider the last change to a production code as a possible pair to a subsequent change to the related test class. This may result in overlooking potential *PT co-evolution* patterns that occur with multiple changes to the production code. Although **CHOSEN** may not capture the full extent of *PT co-evolution*, it ensures that the identified *PT co-evolution samples* are sufficiently accurate. To address the limitation, future work could explore more sophisticated techniques to identify and track co-evolution patterns across multiple changes to production code, which may involve analyzing code changes at a finer-grained level or using more advanced machine learning techniques.

5.6.2 *Threats to External Validity.* External validity is specifically about to what extent our experimental results can be generalized.

**Subject Selection.** The main threats to validity come from the subjects. An external threat is the representativeness of the projects since we have no clear evidence as to how representative the selected projects are. However, the adopted projects are widely used in co-evolution-related research, and the labeled sample size (more than 1K) is large enough to demonstrate our approach's generality. As explained by Kalliamvakou et al. [51], relying solely on the number of stars to select popular projects may not accurately reflect a project's true popularity, which can be influenced by factors other than project quality, such as social networks and marketing efforts. To mitigate this potential threat, we applied multiple strict selection criteria, including a long change history and compilability, and manually verified if the star count of these projects increased within a short period of time. Moreover, the selected project list is obtained from previous studies [81, 82] and is widely used in the software engineering community.

**Evaluation Dataset.** To avoid overfitting, **CHOSEN** has been evaluated on a different set of 380 samples from the 33,567 production-test change pairs while ensuring that there are no overlap or duplicate samples between these 380 samples and that of our empirical study. Careful readers may wonder, even though the two datasets are different, whether they may represent similar information since both are statistically significant samples of the original dataset. However, in evaluating the **CHOSEN**'s usefulness in JIT OTCD, we select and label three projects, of which Flink and Log4j2 are not among the 44 projects of the empirical study. For the Flink and Log4j2 datasets (a total of 609 labeled change pairs), the experimental results further confirm the effectiveness of **CHOSEN** in identifying *PT co-evolution samples*: Table 7 shows that the test set constructed by **CHOSEN** more accurately measures the performance of the OTCD model with an average error of 0.80%, while SITAR\_IM leads to an error of 6.00%. We also acknowledge the fact that the evaluation of **CHOSEN** requires more projects as well as a larger labeled dataset. We are actively working on addressing these limitations in our continuing work.

#### 6 RELATED WORK

#### 6.1 Establishing Traceability Links between Production Code and Test Code

Previous works have proposed methods to establish traceability between production and test code. Given a test class, Qusef et al. [67] exploited dynamic slicing to identify a set of candidate production classes. Then, external and internal textual information associated with the classes was used to identify the final set of tested classes. White et al. [83] utilized a wide range of techniques to establish links between tests and tested code, as well as between test classes and tested classes. At the method level, developers can utilize various tools and frameworks to annotate tests with links to the method under test. One such framework is EzUnit [38], which performs a static analysis and suggests methods called by a test for annotation. When an error occurs in the test, EzUnit highlights the linked methods. Ghafari et al. [47] also work at the method level, where they decompose test cases into sub-scenarios to identify the tested function, using static data flow analysis. These tools and techniques provide valuable insights for establishing traceability at the method level.

Other test-to-code traceability approaches assume that a test should be similar to the corresponding tested unit. Kicsi et al. [52] utilized **Latent Semantic Indexing (LSI)** on source code to establish traceability links between test classes and production classes. The experimental results show that the ground-truth link is ranked top between 30% and 62% and suggests a low recall, with no investigation of precision. Csuvik et al. [41] used word embeddings instead of LSI in the same approach and achieved better precision. Although naming convention can achieve good traceability accuracy on the class level since developers usually follow naming conventions for test classes, on the method level, there are various guidelines for naming test methods, leading to challenges in achieving accurate traceability. Madeja and Poruban [61] investigated and found that only 49% of tests' name included the full name of the focal method, while 76% of tests' name contained a partial name of a focal method. This paper mainly focuses on the *PT co-evolution* at the class level, so we adopt the naming convention with the goal of containing minimal traceability noise. Different from these traceability studies, our purpose is not to associate production code and test code, but to identify whether test code changes are triggered by production code changes.

## 6.2 Co-evolution of Production and Test Code

Levin and Yehudai studied co-evolution with semantic changes [59]. They focused on the coevolution caused by specific maintenance activities, using the number of different types of activities as change features to mine the pattern of PT co-evolution. Unlike their work, we explore test code updates occurring after the changes of the associated production code, composing six root categories. Moreover, Alenezi et al. [35] have presented statistical evidence of a significant relationship between production code and its associated test suites. Specifically, they utilized several metrics used to determine the size of production code or tests, such as the number of classes, lines of code (LOC), number of methods, and number of packages, to understand and identify how test cases evolve during production code changes (releases) in terms of size and complexity. Instead, our study focuses on accurately identifying production-test co-evolution samples, where test code change triggers or is triggered by production code change. Previous researchers have investigated the nature of the co-evolution between production code and test code (i.e., synchronous or phased) [60, 88, 89]. In [60], Lubsen et al. used association rule mining to examine the PT co-evolution in two case studies: an open-source system and an industrial software system. In [89] and [88], the researchers proposed three views, which are change history view, growth history view, and test coverage evolution view, and combined them to study how productiontest co-evolves over time. Further, they demonstrated and validated the use of such views on two open-source cases and one industrial case, drawing several relevant observations about the testing processes used in development. However, the above-mentioned studies [60, 88, 89] are conducted based on identified and mined PT co-evolution samples. To this end, they directly identified those production-test change pairs where the test class changes and its associated production class changes together in one commit as production-test co-evolution samples. However, our empirical study has shown that such an identification method would introduce noise, which may pose a threat to their conclusions. To mitigate this threat, we propose CHOSEN to identify PT coevolution samples accurately. We believe that CHOSEN can enhance the validity of existing works related to PT co-evolution.

Marsavina et.al. [62] run each modified test case to identify all the entities from the production code covered by the test, thereby constructing *PT co-evolution samples* dynamically. Based

on *PT co-evolution samples*, co-evolution patterns are mined by ChangeDistiller [46]. Intuitively, compared with static analysis, a dynamic solution may better identify PT co-evolution samples. However, dynamic methods need to run tests to collect coverage information and are therefore more expensive [50]. Additionally, dynamic methods can achieve high recall but may sacrifice precision since they identify all the entities in the production code accessed by the test [62]. In contrast, CHOSEN is a lightweight static approach that identifies PT co-evolution samples with high precision. We believe **CHOSEN** and dynamic methods can complement each other. Wang et al. [81] conducted a large-scale empirical study (including 975 open-source Java projects) to understand the practice of PT co-evolution. To ease test maintenance whenever the production code changes, they further proposed a machine-learning-based approach named SITAR for JIT obsolete test code detection. In their approach, SITAR focuses more on changing lines of code in programming language constructs. SITAR consists of two components: (1) the construction of PT co-evolution samples (positives) and PT non-co-evolution samples (negatives) and (2) designing several structural code features and training detectors to detect obsolete test code based on the mined PT co-evolution samples. In Section 3.1, we have demonstrated that SITAR's identification method of PT co-evolution samples introduces noise, which causes SITAR's detection performance on the testing set to be significantly different from its actual performance. Compared with SITAR, our work focuses on constructing the PT co-evolution samples. Moreover, CHOSEN can measure the performance of OTCD models more accurately, and the dataset constructed by CHOSEN can train a better detection model (as shown in Section 4.3).

## 7 CONCLUSION AND FUTURE WORK

This article explores the reasonableness of the assumption that is widely used by prior works to collect and label production-test co-evolution samples: if a test class and its associated production class change together in one commit, or a test class changes immediately after the changes of associated production class within a short time interval, this change pair should be a production-test coevolution sample. To this end, we conduct an empirical study and demonstrate that the assumption does not always hold in practice and the methods used by prior work to identify PT co-evolution samples would introduce noise. Therefore, we propose an identification method of PT co-evolution, namely CHOSEN. We evaluate the effectiveness of CHOSEN on a manually labeled dataset. The results show that **CHOSEN** can more accurately identify *PT co-evolution samples*. In addition, We demonstrate that CHOSEN can be used to facilitate the downstream tasks. For the obsolete test code detection task, the test set labeled by CHOSEN can help accurately measure the detection model's performance with only 0.76% errors, and the training set labeled by CHOSEN can help improve the performance of the detection model. When the cost of manual labels is unaffordable, we recommend that researchers construct *PT co-evolution samples* through our method, thereby improving the validity of the findings. Limited by our study scope, CHOSEN cannot identify the case where production modifications are triggered by test modifications (in our empirical study, two samples satisfy this pattern, accounting for 0.53%). Therefore, one important direction for future work is on the extension of **CHOSEN** to identify *PT co-evolution* where test modifications trigger production modifications. In addition, it would be very promising to adopt other state-ofthe-art linking methods that associate production code and test code to construct production-test change pairs, thereby further improving the generalizability of CHOSEN.

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